

# 13  
03-21-0



PATENT  
Attorney Docket No. 80168-0127  
Client/Matter No. P5579

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kenneth EBBS and Tuan TRAN

Application No.: 09/987,760

Filed: November 15, 2001

For: FLEXIBLE AND EXTENSIBLE  
E-COMMERCE  
ARCHITECTURE

) Art Unit: 2152  
)  
) Examiner: Not yet assigned  
)  
)  
)  
)

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**  
MAR 06 2002  
Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the document listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of the listed document is submitted herewith.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or

before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists. The document entitled "Commerce Server 2000 High Availability Reference Architecture" is undated and, as such, its submission does not constitute an admission that the document is material or constitutes "prior art." If the Examiner applies the document as "prior art" against any claims in the application and Applicant determines that the cited document does not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No.

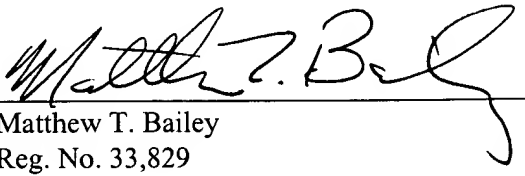
50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR  
EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**HOGAN & HARTSON LLP**

Dated: March 4, 2002

**HOGAN & HARTSON LLP**  
555 13<sup>th</sup> Street, N.W.  
Washington, D.C. 20004  
(202) 637-5467  
e-mail: mtbailey@hhlaw.com  
**Customer No. 24633**

By:   
Matthew T. Bailey  
Reg. No. 33,829

<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> (Modified) <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)				<b>ATTY. DOCKET NO. 80168-0127</b>		<b>APPLICATION NO.</b> 09/987,760	
				<b>APPLICANT</b> Kenneth EBBS and Tuan TRAN			
				<b>FILING DATE</b> November 15, 2001		<b>Art Unit: 2152</b>	

OIP E  
 MAR 04 2002  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

**RECEIVED**  
**MAR 06 2002**  
**Technology Center 2100**

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION								
		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	ABSTRACT	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		Commerce Server 2000 High Availability Reference Architecture

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.